

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:

John R. Liddicoat et al.

Serial No.:

10/068,700

Filing Date:

02/05/2002

Title:

APPARATUS AND METHOD FOR

REDUCING MITRAL REGURGITATION

Group Art Unit:

3738

Examiner:

Crystal M. Gilpin

Attorney's Docket No.:

VIA-16

**RECEIVED** 

SEP 1 5 2003

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**TECHNOLOGY CENTER R3700** 

Sir:

#### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby make the following documents of record in the above-identified application:

### U.S. Patents

- (1) U.S. Patent No. 6,071,263, issued 06/06/00 to Kirkman
- (2) U.S. Patent No. 5,855,565, issued 01/05/99 to Bar-Cohen et al.
- (3) U.S. Patent No. 6,086,599, issued 07/11/00 to Lee et al.
- (4) U.S. Patent No. 5,984,917, issued 11/16/99 to Fleischman et al.
- (5) U.S. Patent No. 6,258,117, issued 07/10/01 to Camrud et al.

## Foreign Patents

(6) Japanese Patent Application No. 409322936A, by A S A SANGYO KK, published 12/16/97

Copies of these documents, which are listed on the accompanying Form PTO-1449 (one page), are enclosed herewith. Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of Form PTO-1449 (one page), as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

VIA-16،

Each of the enclosed documents contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the mailing date indicated hereinbelow.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

#### Thank you. I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: Respectfully submitted, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-Thirdan 09/08/2003 September 8, 200 (DATE OF DEPOSIT) James A. Sheridan James A. Sheridan Registration No. 43,114 Pandiscio & Pandiscio 470 Totten Pond Road Waltham, MA 02154 Tel. (781) 290-0060 September 8, 2003 (DATE OF SIGNATURE)

KK/VIA16.IDS2

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

C mpl te if Known					
Application Number 10/068,700					
Filing Date	02/05/2002				
First Named Inventor	John R. Liddicoat et al.				
Group Art Unit	3738				
Examiner Name	Crystal M. Gilpin				
Attorney Docket Number	VIA-16				

U.S. PATENT DOCUMENTS						
xaminer Cite No.1 Number (If known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	6,071,263		Kirkman	06-06-2000		
	5,855,565		Bar-Cohen et al.	01-05-1999		
	6,086,599		Lee et al.	07-11-2000		
	5,984,917		Fleischman et al.	11-16-1999	<u> </u>	
	6,258,117		Camrud et al.	07-10-2001		
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	No. <sup>1</sup>	Cite No.1 Number Kin (#/ 6,071,263 5,855,565 6,086,599 5,984,917	Cite No.1 Number Kind Code <sup>2</sup> (#known)  6,071,263  5,855,565  6,086,599  5,984,917	U.S. Patent Document   Name of Patentee or Applicant of Cited Document	U.S. Patent Document   Name of Patentee or Applicant of Cited Document   Number   Kind Code² (if known)   Kirkman   06-06-2000	

	FOREIGN PATENT DOCUMENTS							
Cuamina.	Cite	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Initials	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sub>6</sub>
		JA	409322936	A	A S A SANGYO KK	12-16-1997		
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Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.